



Department of Defense  
**DIRECTIVE**  
**AD-A272 452**



May 6, 1992  
NUMBER 5200.2

ASD(C3I)

SUBJECT: Department of Defense Personnel Security Program (DoDPSP)

- References:
- (a) DoD Directive 5200.2, subject as above. December 20, 1979 (hereby canceled)
  - (b) Public Law 81-831, "Internal Security Act of 1950," September 23, 1950 (Section 781 of title 50, United States Code)
  - (c) Executive Order 10450, "Security Requirements for Government Employment," April 27, 1953
  - (d) Executive Order 10865, "Safeguarding Classified Information Within Industry," February 20, 1960
  - (e) through (q), see enclosure 1

**A. REISSUANCE AND PURPOSE**

This Directive:

- 1. Reissues reference (a).
- 2. Updates the policy and responsibilities for the DoDPSP under references (b) through (f).
- 3. Continues to authorize the publication of DoD 5200.2-R reference (g)), in accordance with DoD 5025.1-M (reference (h)).

**B. APPLICABILITY AND SCOPE**

This Directive applies to:

- 1. The Office of the Secretary of Defense, the Military Departments (including the Coast Guard when it is operating as a Military Service in the Navy), the Chairman of the Joint Chiefs of Staff and the Joint Staff, the Unified and Specified Commands, and the Defense Agencies, except as provided for the National Security Agency (NSA) in subsection B.2., below (hereafter referred to collectively as "the DoD Components").
- 2. The NSA is exempt from the provisions of this Directive. The personnel security program for the NSA is implemented pursuant to DoD Directive 5210.45 (reference (k)), and internal regulations of the NSA.
- 3. DoD military and civilian personnel, consultants to the Department of Defense, contractors cleared under the Defense Industrial Security Program (DISP) Regulation (DoD 5220.22-R,

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reference (1)), and others affiliated with the Department of Defense.

### C. POLICY

It is DoD policy that:

1. No person shall be appointed as a civilian employee of the Department of Defense, accepted for entrance into the Armed Forces of the United States, authorized access to classified information, or assigned to duties that are subject to investigation under this Directive unless such appointment, acceptance, clearance, or assignment is clearly consistent with the interests of national security.

2. A personnel security clearance shall be granted and assignment to sensitive duties shall be authorized only to U.S. citizens. As an exception, a non-U.S. citizen may, by an authorized official (as specified in DoD 5200.2-R, reference (g)), be assigned to sensitive duties or granted a Limited Access Authorization for access to classified information if there is a need for access in support of a specific DoD program, project, or contract.

3. The personnel security standard that shall be applied in determining a person's eligibility for a security clearance or assignment to sensitive duties is whether, based on all available information, the person's allegiance, trustworthiness, reliability, and judgment are such that the person can reasonably be expected to comply with Government policy and procedures for safeguarding classified information and performing sensitive duties.

4. DoD 5200.2-R (reference (g)) shall identify those positions and duties that require a personnel security investigation (PSI). A PSI is required for:

- a. Appointment to a sensitive civilian position.
- b. Entry into military service.
- c. The granting of a security clearance or approval for access to classified information.
- d. Assignment to other duties that require a personnel security or trustworthiness determination.
- e. Continuing eligibility for retention of a security clearance and approval for access to classified information or for assignment to other sensitive duties.

5. Reference (g) shall contain personnel security criteria and adjudicative guidance to assist in determining whether an individual meets the clearance and sensitive position standards referred to in subsections C.1. and C.3., above.

6. No unfavorable personnel security determination shall be made except in accordance with procedures set forth in DoD 5200.2-R (reference (g)) or DoD Directive 5220.6 (reference (m)) or as otherwise authorized by law.

#### D. RESPONSIBILITIES

1. The Assistant Secretary of Defense for Command, Control, Communications, and Intelligence shall:

a. Be responsible for overall policy, guidance, and control of the DoDPSP.

b. Develop and implement plans, policies, and procedures for the DoDPSP.

c. Issue and maintain DoD 5200.2-R (reference (g)), consistent with DoD 5025.1-M (reference (h)).

d. Conduct an active oversight program to ensure compliance with DoDPSP requirements.

e. Ensure that research is conducted to assess and improve the effectiveness of the DoDPSP (DoD Directive 5210.79 (reference (n))).

f. Ensure that the Defense Investigative Service is operated pursuant to DoD Directive 5105.42 (reference (o))).

g. Ensure that the DoD Security Institute provides the education, training, and awareness support to the DoDPSP under DoD Directive 5200.32 (reference (p))).

h. Be authorized to make exceptions to the requirements of this Directive on a case-by-case basis when it is determined that doing so furthers the mission of the Department of Defense and is consistent with the protection of classified information from unauthorized disclosure.

2. The General Counsel of the Department of Defense shall:

a. Be responsible for providing advice and guidance as to the legal sufficiency of procedures and standards implementing the DoDPSP and the DISP.

b. Exercise oversight of PSP appeals procedures to verify that the rights of individuals are being protected consistent with the Constitution, laws of the United States, Executive orders, Directives, or Regulations that implement the DoDPSP and DISP, and with the interests of national security.

3. The Heads of the DoD Components shall:

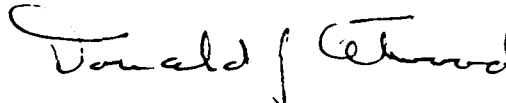
a. Designate a senior official who shall be responsible for implementing the DoDPSP within their components.

b. Ensure that the DoDPSP is properly administered under this Directive within their components.

c. Ensure that information and recommendations are provided to the Assistant Secretary of Defense for Command, Control, Communications, and Intelligence on any aspect of the program.

E. EFFECTIVE DATE

This Directive is effective immediately.



Donald J. Atwood  
Deputy Secretary of Defense

REFERENCES, continued

- (e) Executive Order 12333, "United States Intelligence Activities," December 4, 1981
- (f) Executive Order 12356, "National Security Information," April 2, 1982
- (g) DoD 5200.2-R, "DoD Personnel Security Program," January 1987, authorized by this Directive
- (h) DoD 5025.1-M, "Department of Defense Directives System Procedures," December 1990, authorized by DoD Directive 5025.1, December 23, 1988
- (i) Public Law 86-36, "National Security Agency Officers and Employees," May 29, 1959
- (j) Public Law 88-290, "Personnel Security Procedures in the National Security Agency," March 26, 1964
- (k) DoD Directive 5210.45, "Personnel Security in the National Security Agency," May 9, 1964
- (l) DoD 5220.22-R, "DoD Industrial Security Regulation," December 1985, authorized by DoD Directive 5220.22, December 8, 1980
- (m) DoD Directive 5220.6, "Defense Industrial Personnel Security Clearance Review Program," August 12, 1985
- (n) DoD Directive 5210.79, "Defense Personnel Security Research and Education Center (PERSEREC)," May 31, 1989
- (o) DoD Directive 5105.42, "Defense Investigative Service," June 14, 1985
- (p) DoD Directive 5200.32, "Department of Defense Security Institute (DoDSI)," August 29, 1986
- (q) DoD Directive 5145.3, "Surveillance of DoD Security Programs," October 19, 1962

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